


IFU



Re Patent Application of:

**Brad V. Johnson**

Examiner: Menefee, James A.

Application No.: 10/082,597

) Art Unit: 2828

Filed: February 22, 2002

For: TUNABLE LASER WITH  
MAGNETICALLY COUPLED FILTER

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT WITH PROOF OF ORIGINAL FILING

Sir:

Further to a voicemail of March 15, 2007, in which the Examiner indicated that the Information Disclosure Statement filed May 10, 2002 was not present in the PTO file, Applicant has resubmitted the Information Disclosure Statement filed May 10, 2002. Applicant also has submitted a copy of the return receipt postcard as proof of filing of the Information Disclosure Statement. The stamp on the postcard indicates that the Information Disclosure Statement was originally received in the USPTO on May 21, 2002.

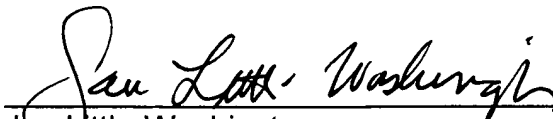
It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicant.

We believe that no fee is required because the Information Disclosure Statement was originally filed under 37 C.F.R. § 1.97(b). However, if there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3/19/2007

  
Jan Little-Washington  
Reg. No. 41,181

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(206) 292-8600

Attachment: Postcard;  
Copy of return postcard with USPTO stamp dated May 21, 2002;  
Copy of Transmittal Form filed on May 11, 2002 (1 pg.);  
Copy of Information Disclosure Statement filed on May 11, 2002 (1 pg.);  
Copy of PTO/SB/08A form filed on May 11, 2002 (4 pgs.); and  
Copies of 80 cited IDS art references.

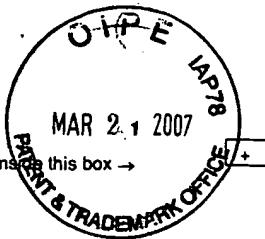
**FIRST CLASS CERTIFICATE OF MAILING**

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on March 19, 2007  
Date of Deposit  
Yuko Tanaka  
Name of Person Mailing Correspondence

Y. Tanaka  
Signature

march 19, 2007  
Date



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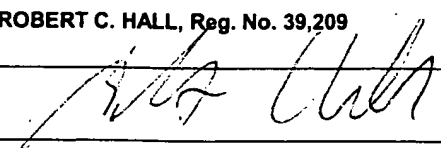

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/082,597	
	Filing Date	February 22, 2002	
	First Named Inventor	JOHNSON, BRAD V.	
	Group Art Unit	2874	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	6 + 82 cited references	Attorney Docket Number	NUFO009

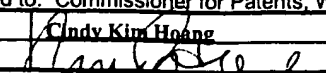
**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) PTO/SB/08A (4 pgs.) 2) 82 Cited References 3) Return Postcard
Remarks		

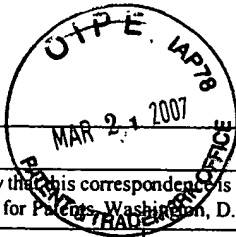
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	ROBERT C. HALL, Reg. No. 39,209
Signature	 
Date	May 10, 2002

**CERTIFICATE OF MAILING**

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Typed or printed name	Cindy Kim Hoang
Signature	 Date May 10, 2002

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Typed or Printed Name	Cindy Kim Hoang		
Signature		Date	5/16/02
<b>INFORMATION DISCLOSURE STATEMENT</b>  Address to: Commissioner for Patents Washington, D.C. 20231	Attorney Docket	NUFO009	
	First Named Inventor	JOHNSON, BRAD V.	
	Application Number	10/082,597	
	Confirmation No.	5739	
	Filing Date	February 22, 2002	
	Group Art Unit	2874	
	Examiner Name	To Be Assigned	
	Title:	"TUNABLE LASER WITH MAGNETICALLY COUPLED FILTER"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. NUFO009 may be charged thereon.

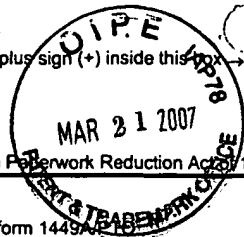
Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: 05/10/02

By:   
ROBERT C. HALL  
Registration No. 39,209

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Substitute for form 1449A		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/082,597
		Filing Date	February 22, 2002
		First Named Inventor	Johnson
		Group Art Unit	2874
		Examiner Name	To Be Assigned
Sheet	1	of	4
		Attorney Docket Number	NUFO009

U.S. PATENT DOCUMENTS						
Examin er Initials'	Cite No. <sup>1</sup>	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	3,967,211		Itzkan et al.	06-29-1976	
	AB	4,309,671		Malyon	01-05-1982	
	AC	4,504,950		AuYeung	03-12-1985	
	AD	4,560,246		Cotter	12-24-1985	
	AE	4,583,227		Kirkby	04-15-1986	
	AF	4,770,047		Arditty et al.	09-13-1988	
	AG	4,839,614		Hill et al.	06-13-1989	
	AH	4,843,233		Jeunhomme	06-27-1989	
	AI	4,870,269		Jeunhomme et al.	09-26-1989	
	AJ	4,932,782		Graindorge et al.	06-12-1990	
	AK	4,994,677		Graindorge	02-19-1991	
	AL	5,028,395		Sebille et al.	07-02-1991	
	AM	5,050,179		Mooradian	09-17-1991	
	AN	5,058,124		Cameron et al.	10-15-1991	
	AO	5,103,457		Wallace et al.	04-07-1992	
	AP	5,115,677		Martin et al.	05-26-1992	
	AQ	5,141,316		Lefevre et al.	08-25-1992	
	AR	5,172,185		Leuchs et al.	12-15-1992	
	AS	5,181,078		Lefevre et al.	01-19-1993	
	AT	5,185,643		Vry et al.	02-09-1993	
	AU	5,218,610		Dixon	06-08-1993	
	AV	5,225,930		Land et al.	06-06-1993	
	AW	5,270,791		Lefevre et al.	12-14-1993	
	AX	5,305,330		Rieder et al.	04-19-1994	
	AY	5,319,668		Luecke	06-07-1994	
	AZ	5,321,717		Adachi et al.	06-14-1994	
	BA	5,327,447		Mooradian	07-05-1994	
	BB	5,331,651		Becker et al.	07-19-1994	

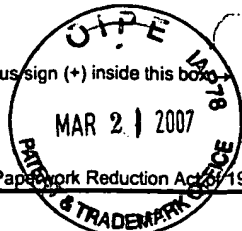
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
		<b>Application Number</b>	<b>10/082,597</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Filing Date</b>	<b>February 22, 2002</b>
		<b>First Named Inventor</b>	<b>Johnson</b>
		<b>Group Art Unit</b>	<b>2874</b>
		<b>Examiner Name</b>	<b>To Be Assigned</b>
(use as many sheets as necessary)		<b>Attorney Docket Number</b>	<b>NUFO009</b>
Sheet	2	of	4

BC	5,347,527	Favre et al.	09-13-1994
BD	5,331,651	Graindorge et al.	09-20-1994
BE	5,349,440	DeGroot	09-20-1994
BF	5,373,515	Wakabayashi et al.	12-13-1994
BG	5,387,974	Nakatani	02-07-1995
BH	5,412,474	Reasenbergs et al.	05-02-1995
BI	5,420,687	Kachanov	05-30-1995
BJ	5,428,700	Hall	06-27-1995
BK	5,438,579	Eda et al.	08-01-1995
BL	5,450,202	Tisue	09-12-1995
BM	5,473,625	Hansen et al.	12-05-1995
BN	5,543,916	Kachanov	08-06-1996
BO	5,583,638	Cutler	12-10-1996
B	5,594,744	Lefevre et al.	01-14-1997
PB	5,606,439	Wu	02-25-1997
BR	5,631,736	Thiel et al.	05-20-1997
BS	5,712,704	Martin et al.	01-27-1998
BT	5,719,674	Martin et al.	02-17-1998
BU	5,737,109	Goodwin	04-07-1998
BV	5,760,391	Narendran	06-02-1998
BW	5,802,085	Lefevre et al.	09-01-1998
BX	5,825,792	Villeneuve et al.	10-20-1998
BY	5,886,785	Lefevre et al.	03-23-1999
BZ	5,946,331	Amersfoort et al.	08-31-1999
CA	5,991,061	Adams et al.	11-23-1999
CB	6,043,883	Leckel et al.	03-28-2000
CC	6,061,369	Conradi	05-09-2000
CD	6,084,695	Martin et al.	06-04-2000
CE	6,108,355	Zorabedian	08-22-2000
CF	6,115,401	Scobey et al.	09-05-2000
CG	US 6,192,058	Abeles	02-20-2001
CH	US 6,205,159	Sesko et al.	03-20-2001

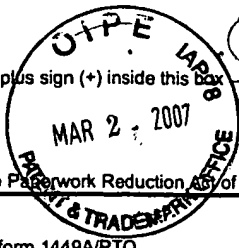
Examiner Signature	Date Considered
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/082,597
		Filing Date	February 22, 2002
		First Named Inventor	Johnson
		Group Art Unit	2874
		Examiner Name	To Be Assigned
Sheet 3 of 4	Attorney Docket Number	NUFO009	

CI	US 6,243,517	Deacon	06-05-2001
CJ	US 6,246,480	O'Brien	06-12-2001
CK	US 6,249,364	Martin et al.	06-19-2001
CL	US 6,252,718	Lefevre	06-26-2001
CM	US 6,282,215	Zorabedian et al.	08-28-2001
CN	US 6,304,586	Pease et al.	10-16-2001
CO	US 6,321,011	Deacon	11-20-2001
CP	US 6,330,253	Tuganov et al.	12-11-2001
CQ	US 6,331,892	Green	12-18-2001
CR	USRE 37,044	Wu	02-06-2001
CS	US 6,324,204	Deacon	02-27-2001

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Documents			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	CT	EP	0924628		Kessenich et al.	08-11-1998		
	CU	WO	98/44424		Marmor et al.	10-08-1998		

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CV	FAVRE, et al. "External-Cavity Semiconductor Laser With 15 nm Continuous Tuning Range", Electronics Letters, June 19, 1996.	
	CW	MACLEOD, H.A. Thin Film Optical Filters 2 <sup>nd</sup> Edition, McGraw-Hill, 1989, pgs 244-269.	
	CX	MELLIS et al. "Miniature Packaged External-Cavity Semiconductor Laser With 50 Ghz Continuous Electrical Tuning Range" Electronics Letters, April 22, 1988.	
	CY	ROSENBERG, K.P. et al. "Logarithmically Variable Infrared Etalon Filters," SPIE, Vol. 2262/223, pgs.223-232.	
	CZ	SCOBEY & STUPIK, "Stable Ultra-Narrow Bandpass Filters, SPIE, Vol 2262, pgs.37-46	
	DA	SIEGMAN A.E. "An Introduction to Lasers and Masers," Mc Graw Hill, Inc. 1971.	

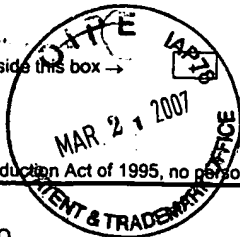
Examiner Signature	Date Considered
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		<b>Application Number</b>	<b>10/082,597</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Filing Date</b>	<b>February 22, 2002</b>
		<b>First Named Inventor</b>	<b>Johnson</b>
		<b>Group Art Unit</b>	<b>2874</b>
		<b>Examiner Name</b>	<b>To Be Assigned</b>
		<b>Attorney Docket Number</b>	<b>NUFO009</b>
<b>Sheet</b>	<b>4</b>	<b>of</b>	<b>4</b>

DB	TAKASHASHI, H. "Temperture Stability of Thin-Film Narrow-Bandpass Filters Produced by Ion-Assisted Deposition" Applied Optics, Vol. 34, No. 4, Feb. 1, 1995.	
<del>DC</del>	<del>YODER, Optical Instrument Structural Design, pp. 642-649.</del>	
DD	ZHANG, X.X., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications" Coring OCA Corp. pgs. 103-108.	

<b>Examiner Signature</b>		<b>Date Considered</b>	
-------------------------------	--	----------------------------	--

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Atty. Docket No.: NUFO009  
USSN: 10/082,597  
Confirmation No.: 5739

Date Mailed: 5/10/02  
Filing Date: February 22, 2002  
Atty./Sec.: RCH/ckh

Title: "TUNABLE LASER WITH MAGNETICALLY COUPLED FILTER"

Enclosure(s):

- ❖ Transmittal (1 pg.)
- ❖ Information Disclosure Statement (1 pg.)
- ❖ PTO/SB/08A (4 pgs.)
- ❖ 82 Cited References
- ❖ Return Postcard

Please Acknowledge receipt of enclosures by PTO date-stamping postcard and returning to sender via US Mail.

Atty. Docket No.: NUFO009  
USSN: 10/082,597  
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